IAP12 Rec'd PCT/PTO 1 9 MAY 2006

Docket No.

291327US26PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yasuo KOBAYASHI, et al.

SERIAL NO: New U.S PCT Application Based on PCT/JP04/17272

GAU:

FILED: FOR:

Herewith

EXAMINER:

PLASMA PROCESSING METHOD AND PLASMA PROCESSING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited international Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 New U.S PCT Application (Modified) 291327US26PCT Based on PCT/JP04/17272 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Yasuo KOBAYASHI, et al. **FILING DATE GROUP** Herewith **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER DOCUMENT SUB** DATE **CLASS** NAME INITIAL NUMBER CLASS IF APPROPRIATE AA AB AC AD ΑE AF AG AH ΑI AJ ΑK AL AM AN **FOREIGN PATENT DOCUMENTS** DOCUMENT **TRANSLATION** DATE COUNTRY NUMBER YES NO JP(with English abstract, computer generated AO 11-176820 7/2/1999 NO translation & equivalent of US 6 149 730) JP(with English abstract & computer generated AP 10-209137 8/7/1998 NO translation) JP(with English abstract, computer generated 6/18/1999 NO AQ 11-162960 translation & equivalent of US 6 544 901) WO(with English abstract & equivalent of US 02/080249 10/10/2002 NO AR 2003/178144) JP(with English abstract & computer generated 07-335626 12/22/1995 NO AS translation) JP(with English abstract & computer generated AT 2003-183839 7/3/2003 NO translation) JP(with English abstract & computer generated ΑU 2004-349458 12/9/2004 NO translation) ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.